



CONCENTRATION REQUIREMENTS WORKSHEET

The information that follows is an abbreviated version of the requirements for completion of the Business Law Concentration at the School of Law. Please consult the Academic Rules for complete rules. The information contained below must be verified by the Concentration Director. A communication noting significant discrepancies or problems, if any, will be made via email to your Pace Law School email account and a copy will be placed in your file. **OBTAIN THE SIGNATURE OF THE CONCENTRATION DIRECTOR BEFORE SUBMITTING THIS FORM TO THE REGISTRAR'S OFFICE. THE FORM IS DUE NO LATER THAN SIX (6) WEEKS AFTER THE DATE OF THE LAST FINAL EXAMINATION OF THE REGULAR EXAMINATION PERIOD IN THE SEMESTER IN WHICH THE STUDENT EXPECTS TO GRADUATE.**

Name: _____

Anticipated Graduation Date: _____

Student ID U#: _____

Phone: _____

E-Mail Address: _____

NOTE: Depending on a student's particular area of interest, a student may choose one (but not both) of two tracks within the Business Law Concentration: (a) Corporate; or (b) Financial Compliance. Qualifying students may earn a concentration that will be listed on their transcripts as either (but not both) "Business Law-Corporate Concentration" or "Business Law-Financial Compliance Concentration." Indicate by checking the box below which of the two Business Law Concentration for you are seeking (check one box only):

- Business Law-Corporate
- Business Law-Financial Compliance

IN ORDER TO RECEIVE ABOVE-NAMED CONCENTRATION FROM THE SCHOOL OF LAW YOU MUST:

| | Requirement | Comments |
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| 1. | <i>MEETING WITH CONCENTRATION DIRECTOR: Within six (6) weeks of the end of the regular examination period in the semester in which you have completed all of the courses needed to satisfy the requirements of the Concentration, please complete this form and meet with the Concentration Director. This form must be accompanied by a copy of your final transcript. The signature of the Concentration at the end of this form constitutes the Concentration Director's good faith determination that you have completed all of the requirements for the Concentration, subject to final verification by the Academic Dean and/or Registrar. You are welcome, but not required, to meet with the Concentration Director at other times.</i> | |
| 2. | <i>COMPLETION OF WORKSHEET: Ordinarily, students should submit this Concentration Requirements Worksheet no later than six (6) weeks after the date of the end of the regular examination period in the semester in which the student expects to graduate. In the exercise of sole and absolute discretion, the Academic Dean may make reasonable extensions to this deadline.</i> | |
| 3. | <i>REQUIRED COURSES FOR ALL CONCENTRATORS: To complete the Business Law Concentration (whether the Business Law-Corporate Concentration track or the Business Law-Financial Services Concentration track), a student must complete the following 3 courses. Please indicate the semester (e.g., fall/spring) and year in which you did take or are planning to take the course and the grade received (if the course already taken; if you are currently taking the course, write "N/A" for grade):</i> a. Corporations & Partnerships: Semester: _____ Year: _____ Grade: _____ b. Corporate Finance: Semester: _____ Year: _____ Grade: _____ c. Securities Regulation or Securities Litigation and Enforcement: Semester: _____ Year: _____ Grade: _____ | |
| 4.a. | <i>ADDITIONAL REQUIRED COURSE FOR BUSINESS LAW-CORPORATE CONCENTRATION TRACK</i> Federal Income Taxation: Semester: _____ Year: _____ Grade: _____ | |

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| 4.b. | ADDITIONAL REQUIRED COURSE FOR BUSINESS LAW-FINANCIAL SERVICES CONCENTRATION TRACK Financial Services Compliance Law: Semester: _____ Year _____ Grade: _____ | |
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| 5. | ELECTIVE COURSES: All students must take at least 2 of following electives, totaling at least 5 credits. Please check off the box in front of the name of each course that you intend to apply toward satisfaction of the Concentration requirement. Please also indicate the number of credits allocated to the course, the semester (e.g., fall/spring) and year in which you took the course, and the grade received: | | | | | |
| | | Number of Credits | Semester | Year | Grade | Comments |
| <input type="checkbox"/> | Accounting for Lawyers | | | | | |
| <input type="checkbox"/> | Administrative Law | | | | | |
| <input type="checkbox"/> | Banking Law | | | | | |
| <input type="checkbox"/> | Business Planning | | | | | |
| <input type="checkbox"/> | Creditors' Rights and Bankruptcy | | | | | |
| <input type="checkbox"/> | Drafting Legal Documents | | | | | |
| <input type="checkbox"/> | Entertainment Law [available to be counted as an elective for Business Law-Corporate Concentration track only] | | | | | |
| <input type="checkbox"/> | Fed Tax I (Income Tax) [available to be counted as an elective for Business Law-Financial Services Concentration track only] | | | | | |
| <input type="checkbox"/> | Fed Tax II (Corporate Tax) | | | | | |
| <input type="checkbox"/> | Financial Services Compliance Law | | | | | |
| <input type="checkbox"/> | Global Corporate Governance (Lubin) | | | | | |
| <input type="checkbox"/> | Govt. Institutions and Business Strategy (Lubin) | | | | | |
| <input type="checkbox"/> | Insurance Law | | | | | |
| <input type="checkbox"/> | International Business Transactions | | | | | |
| <input type="checkbox"/> | Interviewing, Counseling, and Negotiation | | | | | |
| <input type="checkbox"/> | Intro to Private Funds | | | | | |
| <input type="checkbox"/> | Introduction to Financial Decisionmaking (Lubin) | | | | | |
| <input type="checkbox"/> | Mergers and Acquisitions | | | | | |
| <input type="checkbox"/> | Negotiation | | | | | |
| <input type="checkbox"/> | Org. Behavior and Leadership Skills (Lubin) | | | | | |
| <input type="checkbox"/> | Survey of Dispute Resolution Processes [available to be counted as an elective for Business Law-Corporate Concentration track only] | | | | | |
| <input type="checkbox"/> | White Collar Crime | | | | | |
| <input type="checkbox"/> | Such one or more other courses as may be designated from time to time by mutual agreement of the Concentration Director and the Associate Dean for Academic Affairs. | | | | | |

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| 6. | <p>CAPSTONE OR SKILLS COURSES: All students must take 1 of the following Capstone or Skills courses. Please indicate the semester (e.g., fall/spring) and year in which you did take or are planning to take the course and the grade received (if the course already taken; if you are currently taking the course, write "N/A" for grade):</p> <p>a. Corporate Law Externship: Semester: _____ Year: _____ Grade: _____ Business Name of Placement for Corporation Law Externship:</p> <p>_____</p> <p>Was externship compliance-related? (compliance-related placement required for students pursuing the Business Law-Financial Services track):</p> <p>YES: _____ NO: _____ (CHECK ONE)</p> <p>b. Guided Externship: Semester: _____ Year: _____ Grade: _____ Business Name of Placement for Guided Externship:</p> <p>_____</p> <p>Was externship business-related? (business-related placement required for students pursuing the Business Law-Corporate track):</p> <p>YES: _____ NO: _____ (CHECK ONE)</p> <p>Was externship compliance-related? (compliance-related placement required for students pursuing the Business Law-Financial Services track):</p> <p>YES: _____ NO: _____ (CHECK ONE)</p> <p>c. Investor Rights Clinic: Semester: _____ Year: _____ Grade: _____</p> | |
| 7. | <p>GPA REQUIREMENTS: In order to qualify for the Business Law Concentration (regardless of track), you must achieve an average of B or better in all Concentration courses, with no grade below a C. You must maintain an overall GPA of 2.5 or better.</p> <p>a. GPA in Concentration courses only: _____ <i>(calculate current GPA within Concentration courses, if all not yet completed)</i></p> <p>b. Did you receive a grade below a C in any Concentration course?</p> <p>YES: _____ NO: _____ (CHECK ONE)</p> <p>c. Overall GPA: _____</p> | |

Reminder: No Concentration may be awarded without receipt of final grades and successful completion of all Concentration requirements as certified by the Concentration Director and the Academic Dean and/or Registrar. For that reason, any designation of a Concentration at the Law School's graduation ceremony is provisional only.

Student Verification:

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| The information on this form is true and correct to my knowledge. I acknowledge that I have read and understand the requirements for the awarding of the Certificate as listed in the Academic Rules and Policies, and that I am responsible for meeting those requirements. | |
| Student's Signature | Date: |

Concentration Director Verification:

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| I confirm that the student has met with me and I have reviewed the information presented on this form by the student. Based on good faith belief that the information contained on this form and prepared by the student is accurate, and review of the student's final transcript, it appears that the student has satisfied all of the requirements of the Concentration. | |
| Concentration Director's Signature | Date: |

9/16/2021